



National Disciplinary Board

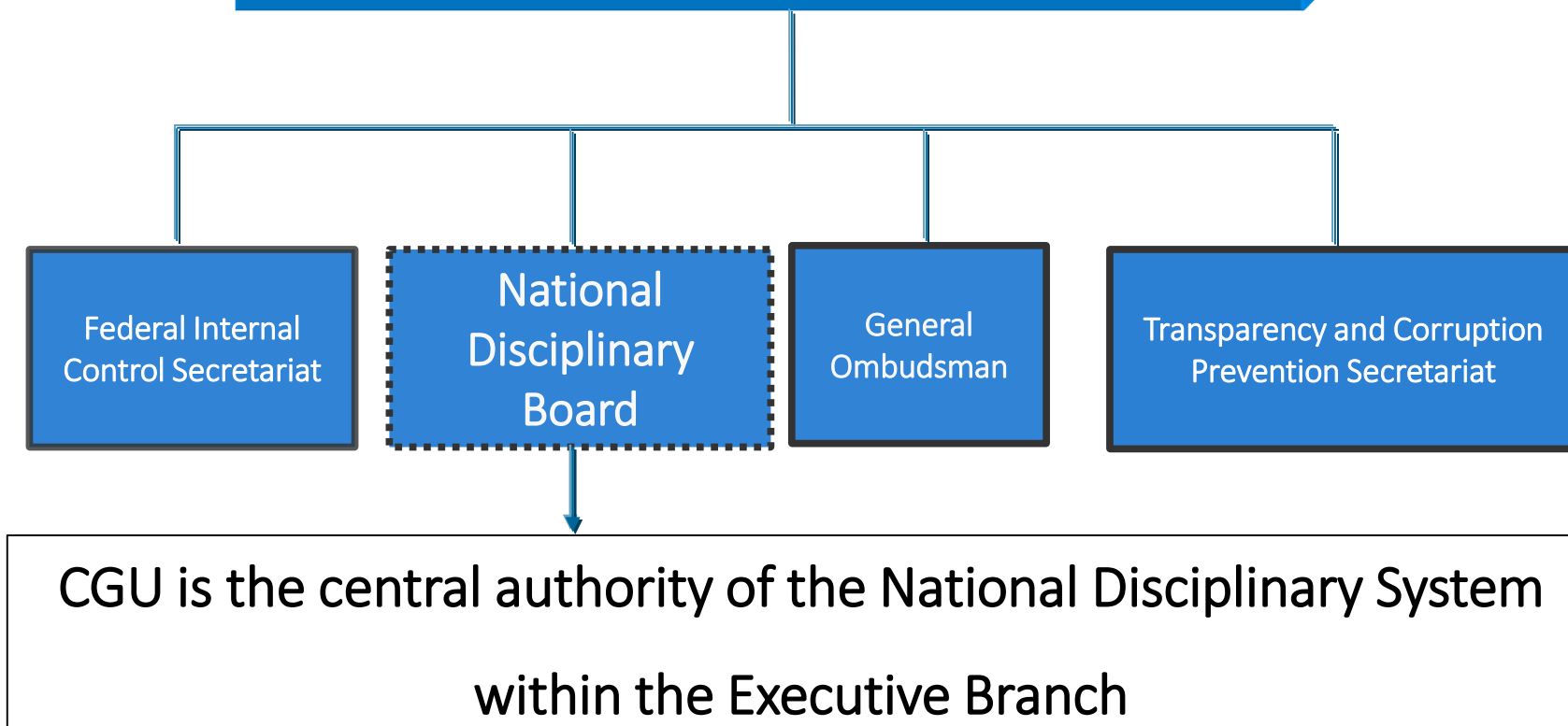
Structure, Roles and Results

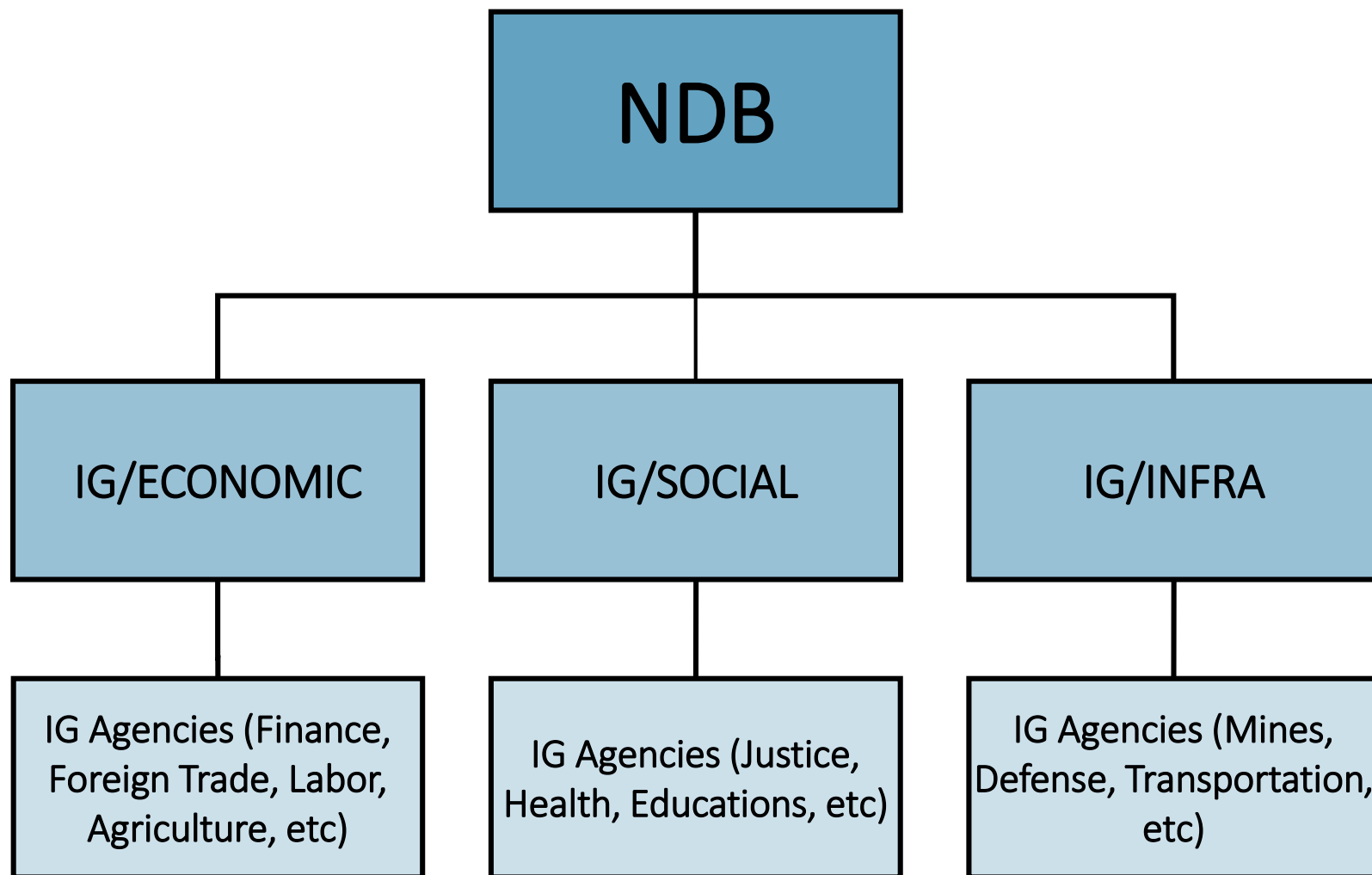
Ricardo Wagner de Araujo
Director of Inspectorate for Economic Affairs

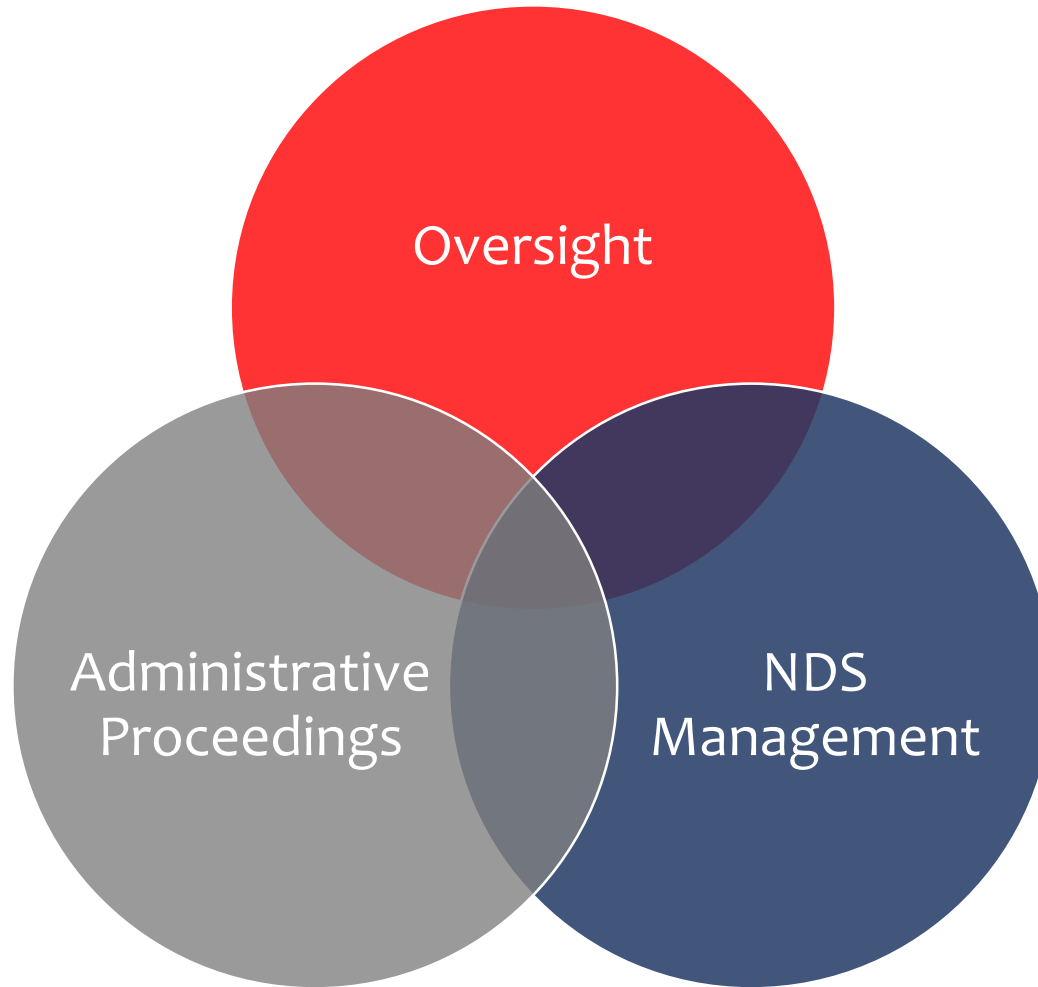
2018



Ministry of Transparency and Office of the Comptroller General (CGU)







Oversight

Administrative
Proceedings

NDS
Management



OVERSIGHT - Planning, monitoring and execution

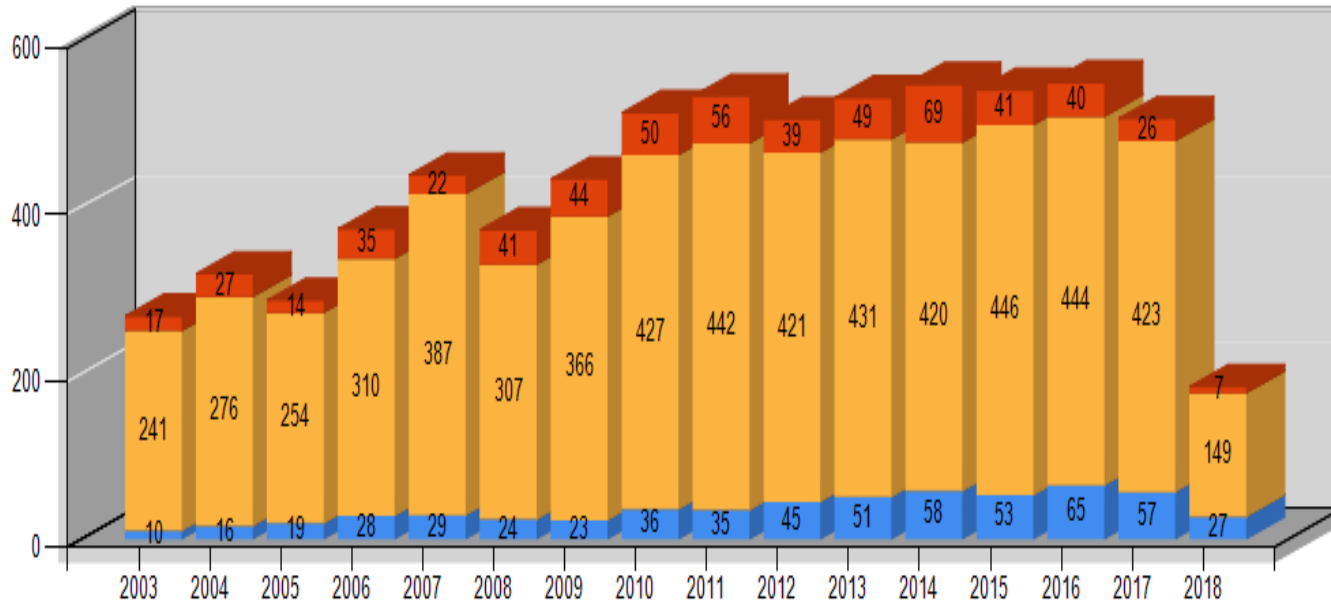
- Operational Planning (evaluation, recommendations and results)
- Follow-Up of serious cases (e.g. Car Wash)
- Inspections
- Technical Visits
- Advising



OVERSIGHT - RESULTS

Total de Expulsos por Ano

DESTITUIÇÃO DEMISSÃO CASSAÇÃO DE APOSENTADORIA



Since 2003
+ 5,500
2/3 related
to corruption

Dismissal

Retirement revocation

Discharge



NATIONAL DISCIPLINARY SYSTEM MANAGEMENT

Disciplinary Committee – *approval of administrative regulations*

- ✓ Statute of limitations
- ✓ Full defense
- ✓ Use of criminal evidence (e.g. bank secrecy, wire tapping)

Publishing of rules and procedures (*e.g. manuals, guidelines and regulations*)

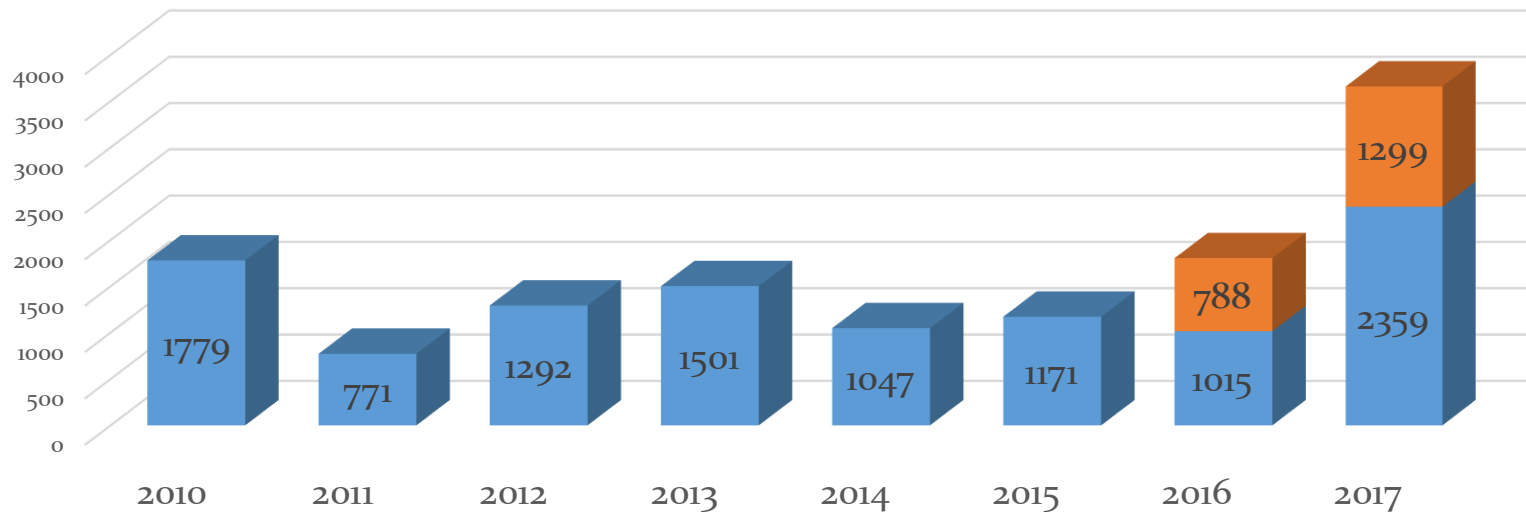
- ✓ Guidelines for Implementation of Inspectorates in Federal Agencies
- ✓ Manual of Disciplinary Proceedings – Public Officials
- ✓ Manual of Administrative Proceedings - Contractors



NATIONAL DISCIPLINARY SYSTEM MANAGEMENT

Training – more than 17,500 since 2003

Servidores Capacitados



Disciplinary Proceedings

Administrative Proceedings

NATIONAL DISCIPLINARY SYSTEM MANAGEMENT

CGU-PAD and CGU-PJ



- Data from all disciplinary proceedings against public officials and its results
- Data from all administrative and debarment proceedings against contractors and its results

CEAF



- Database with sanctions against public officials
- Civil Society control

NATIONAL DISCIPLINARY SYSTEM MANAGEMENT

CEIS (Dez/2008)



Sanctions against contractors
debarred from government
contracting

CNEP (Fev/2014)



Sanctions against contractors for
misconduct against the national
or international governments

**+ 12 thousand
sanctions**

**87 agencies (states and
municipalities)**

**+ 7 millions views
per year**



NATIONAL DISCIPLINARY SYSTEM MANAGEMENT

Interagency cooperation

- ✓ Federal Prosecutor's Office and Federal Police (criminal offences)
- ✓ Attorney General Office (asset recovery)
- ✓ Other jurisdictions (foreign bribery)

Federal Police Operations follow-up: +550 dismissals



ADMINISTRATIVE PROCEEDINGS

Jurisdiction - Public Officials and Legal Persons

- ✓ High ranking authorities
- ✓ Amount of public assets
- ✓ Large media coverage
- ✓ Failure to comply with CGU's decision



ADMINISTRATIVE PROCEEDINGS

Public Officials

Post	Public Officials	Dismissals	Percentage (NDS +CGU)	Percentage (CGU)
Sem DAS	595.708	5.148	0,86%	0,02%
DAS-1	7.166	102	1,46%	0,06%
DAS-2	6.101	89	1,49%	0,03%
DAS-3	4.163	89	2,16%	0,27%
DAS-4	3.490	162	4,70%	0,93%
DAS-5	1.085	53	5,09%	1,54%
DAS-6	223	16	7,66%	3,83%

CGU
 50% of dismissals DAS-6
 30% of dismissals DAS-5
 20% of dismissals DAS-4

Source: www.portaldatransparencia.gov.br.



ADMINISTRATIVE PROCEEDINGS

Legal Persons (contractors)

3 PIs foreign
bribery

19 debarments
(**8** Car Wash)
since 2013

8 AP's
finished in
2018

24 ongoing
proceedings